Case 1:15-cr-10076-ADB SJS 45 (5/97) - (Revised U.S.D.C. MA 3/25/2011)

Filed 04/08/45 / Pagle Volf 7/6 Document 1-1

Criminal Case Co	ver Sheet	U.S. District Court - District of Massachusetts
Place of Offense:	Category No.	Investigating Agency FBI, HHS, VA, FDA, DCIS
City Boston	Related Case Info	rmation:
County Suffol	Superseding Ind./ I Same Defendant Magistrate Judge C Search Warrant Ca R 20/R 40 from Dis	New Defendant Case Number se Number
Defendant Inform	ation:	
Defendant Name	Patrick Fabian	Juvenile: Yes ✓ No
Alias Name	Is this person an attorney and/or a member o	f any state/federal bar: Yes ✓ No
Address	(City & State) Lake Elmo, MN 55042	0
Birth date (Yr only):	1967 SSN (last4#): 5566 Sex M	Race: Caucasian Nationality: U.S.
Defense Counsel i	f known: Frank Llbby	Address 399 Boylston Street
Bar Number		Boston, MA 02116
U.S. Attorney Info	ormation:	
AUSA Sara N	liron Bloom, Patrick Callahan	Bar Number if applicable 552351 (Bloom)
Interpreter:	Yes No List langua	ge and/or dialect:
Victims:	Yes No If yes, are there multiple crime	victims under 18 USC§3771(d)(2) Yes No
Matter to be SEA	LED: Yes No	
✓Warrar	nt Requested Regular Proce	ess In Custody
Location Status:		
Arrest Date		_
	eral Custody as of  Custody at  ease: Ordered by:	Serving Sentence Awaiting Trial
Charging Docume	ent: Complaint Info	ormation Indictment
Total # of Counts	Petty Mis	sdemeanor — Felony 18
	Continue on Page 2 for En	try of U.S.C. Citations
accurately	ertify that the case numbers of any prior proventies of the prior prior proventies of the prior	2
Date: 4/8/	Signature of AUSA:	Jaca Glom

Case 1:15-cr-10076-ADB Document 1-1 Filed 04/08/15 Page 2 of 4

JS 45 (5/97) (Revised U.S.D.C. MA 12/7/05) Page 2 of 2 or Reverse

Distric	et Court Case N			
Name	of Defendant	Patrick Fa	abian	
Set 1	Index Key 18 U.S.C.		U.S.C. Citations <u>Description of Offense Charged</u> Conspiracy	Count Numbers
Set 2	15 U.S.C. 7	7(7), 78ff	Securities Fraud	2-4
Set 3	18 U.S.C	. 1343	Wire Fraud	5-8
Set 4	18 U.S.C. 33	31(a), 333	Food, Drug & Cosmetic Act Violations	9-18
Set 5				
Set 6				
Set 7				
Set 8				
Set 9				
Set 10				
Set 11				<u>-</u>
Set 12				
Set 13				
Set 14				
Set 15				
ADDI	TIONAL INFO	RMATION:		
	- <del></del>			

Page 3 of 4 00 76

SJS 45 (5/97) - (Revised U.S.D.C. MA 3/25/2011)

Criminal Case Co	ver Sheet	U.S. District Court - District of Massachusetts
Place of Offense:	Category No.	Investigating Agency FBI, HHS, VA, FDA, DCIS
City Boston	Related Case Information:	
County Suffol	Superseding Ind./ Inf. Same Defendant Magistrate Judge Case Number Search Warrant Case Number R 20/R 40 from District of	
Defendant Inform	ation:	
Defendant Name	William Facteau Ju	venile: Yes 🗸 No
Alias Name	Is this person an attorney and/or a member of any state	e/federal bar: Yes V No
Address	(City & State) 67 ROSEWOOD DR	
Birth date (Yr only):	1970 SSN (last4#): 3767 Sex M Race: Ca	Nationality: U.S.
Defense Counsel i	f known: Leo Cunningham	Address 650 Page Mill Road
Bar Number		Palo Alto, CA 94304
U.S. Attorney Info	ormation:	
AUSA Sara N	firon Bloom, Patrick Callahan Bar Numb	per if applicable 552351 (Bloom)
Interpreter:	Yes No List language and/or	.,
Victims:	Yes No If yes, are there multiple crime victims ur	nder 18 USC§3771(d)(2)
Matter to be SEA	LED: Yes No	
✓Warrar	t Requested Regular Process	In Custody
<b>Location Status:</b>		
Arrest Date		
Already in State	cral Custody as ofServing ease: Ordered by:	Sentence Awaiting Trial
Charging Docume	ent: Complaint Information	✓ Indictment
Total # of Counts	Petty Misdemeanor	r ——— Felony 18
	Continue on Page 2 for Entry of U.S	S.C. Citations
	ertify that the case numbers of any prior proceeding set forth above.	gs before a Magistrate Judge are
Date: 4/8	Signature of AUSA:	S Day

Case 1:15-cr-10076-ADB Document 1-1 Filed 04/08/15 Page 4 of 4

JS 45 (5/97) (Revised U.S.D.C. MA 12/7/05) Page 2 of 2 or Reverse

District Name o	of Defendant	William Fa	acteau	
et l	Index Ke	<del>-</del>	U.S.C. Citations <u>Description of Offense Charged</u> Conspiracy	Count Numbers
et 2	15 U.S.C. 7	7(7), 78ff	Securities Fraud	2-4
et 3	18 U.S.C	. 1343	Wire Fraud	5-8
et 4	18 U.S.C. 33	31(a), 333	Food, Drug & Cosmetic Act Violations	9-18
et 5 .				
et 6 .				
et 7 .				
et 8				
et 9 ,		_		
et 10 .				
et 11 .				
et 12 .				
et 13 .				
et 14				
et 15		_		